



Substitute for form 1449A/B/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/609,503-Conf. #1654		
		Filing Date	July 1, 2003		
		First Named Inventor	Yoshinori SHIMIZU		
		Art Unit	2879		
		Examiner Name	J. L. Williams		
Sheet	1	of	1	Attorney Docket Number	0020-5146P

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
JW	AA	US-5,700,713-A	12-23-1997	Yamazaki et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			

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Examiner Signature	<i>Josiah Williams</i>	Date Considered	3/28/06
Birch, Stewart, Kolisch & Birch, LLP		ADM/EHC/sld	



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<i>[initials]</i>	AA	US-6,066,861 A	05/23/2000	Hohn et al.	
<i>[initials]</i>	AB	US-6,784,511 B1	08/31/2004	Kunihara et al.	
<i>[initials]</i>	AC	US-5,257,049 A	10-26-1993	Van Peteghem	
<i>[initials]</i>	AD	US-6,576,930 B2	06-10-2003	Reeh et al.	
<i>[initials]</i>	AE	US-6,812,500 B2	11-02-2004	Reeh et al.	
<i>[initials]</i>	AF	US-2001-030326 A1	10-18-2001	Reeh et al.	

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<i>[Handwritten Initials]</i>	BA	JP11-500584	01/12/1999			Y
<i>[Handwritten Initials]</i>	BB	JP49-122292	11/22/1974			Y
<i>[Handwritten Initials]</i>	BC	JP7-321407	12/08/1995	FUJI ELECTRIC CO LTD.		Y
<i>[Handwritten Initials]</i>	BD	JP5-226676	03/09/1993	SHARP CORP.		Y
<i>[Handwritten Initials]</i>	BE	JP6-115158	04/26/1994	AGFA GEVAERT NV		Y
<i>[Handwritten Initials]</i>	BF	JP07-288341	10/31/1995	NICHIA CHEM IND LTD		Y
<i>[Handwritten Initials]</i>	BG	JP61-158606	07/18/1986			Y
<i>[Handwritten Initials]</i>	BH	JP2000-512806	09/26/2000			Y

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	CA	Nikkei Sangyo Shin-bun of September 13, 1996	
<i>[initials]</i>	CB	S. NAKAURA et al., "High Quality InGaN Films Grown on GaN Films", Japanese Journal of Applied Physics Part 2, Vol. 31, No. 10B, 1992, pages L1457-1459 <i>no month</i>	
<i>[initials]</i>	CC	Mitsubishi Electric Company Technical Report, Vol. 48, No. 9, 1974, pages 1121-1124 <i>no month</i>	
<i>[initials]</i>	CD	Proceedings of Illumination National Convention in 1983, page 12 <i>no month</i>	
<i>[initials]</i>	CE	Phosphor Handbook, 1 <sup>st</sup> Edition, 1987, pages 233-240 and 275-277 <i>no month</i>	
<i>[initials]</i>	CF	Journal of the Television Society, Vol. 47, No. 5, 1993, pages 753-764 <i>no month</i>	
<i>[initials]</i>	CG	R.W.G. HUNT, "Revised Colour-Appearance Model for Related and Unrelated Colours", Color Research & Application, Vol. 16, No. 3, 1991, pages 146-165 <i>no month</i>	
<i>[initials]</i>	CH	Y. NAYATANI, "Revision of the Chroma and Hue Scales of a Nonlinear Color-Appearance Model", Color Research & Application, Vol. 20, No. 3, June 1995, pages 143-155	
<i>[initials]</i>	CI	M. IKEDA et al., "Equivalent Lightness of Colored Objects at Illuminances from the Scotopic to the Photopic Level", Color Research & Application, Vol. 14, No. 4, August, 1989, pages 198-206	

Examiner Signature	<i>[Signature]</i>	Date Considered	3/27/06
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Birch, Stewart, Kolasch &amp; Birch, LLP

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	CJ	M. IKEDA et al., "Equivalent Lightness of Colored Objects of Equal Munsell Chroma and of Equal Munsell Value at Various Illuminances", Color Research & Application, Vol. 16, No. 2, April, 1991, pages 72-80	
	CK	H. SHINODA et al., "Categorized Color Space on CRT in the Aperture and the Surface Color Mode", Color Research & Application, Vol. 18, No. 5, October, 1993, pages 326-333	
	CL	M. IKEDA, "Change of Color Conspicuity for Various Illuminance Levels: Effects of the Purkinje shift", Journal of the Illumination Society, Vol. 71, No. 10, 1987, pages 612-617 And English Abstract <i>no month</i>	

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Birch, Stewart, Kolasch &amp; Birch, LLP

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12/1/05



Sheet 1 of 1 (1/21/05)

Form PTO-1449	ATTY. DOCKET NO. 0020-5146P	APPLICATION NO. 10/609,503
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)	APPLICANT Yoshinori SHIMIZU et al.	
	FILING DATE July 1, 2003	GROUP 2879

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	Kind	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>AW</i>	US 6,004,001	A	1999-12-21	Noll			
	US						
	US						
	US						
	US						
	US						
	US						
	US						
	US						
	US						

## FOREIGN PATENT DOCUMENTS

Office	DOCUMENT NUMBER	Kind	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS

(Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)

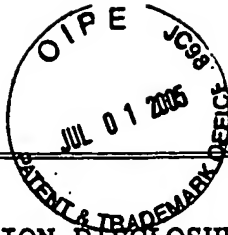
<i>AW</i>	P. Schlouer et al. "Luminescence Conversion of Blue Light Emitting Diodes", Applied Physics Letter, vol. 46, p. 417-418, February 1997.
<i>AW</i>	M.F. YAN et al., "Preparation of Y3Al5O12-Based Phosphor Powders, J. Electrochem. Soc., Vol. 134, No. 2, Feb. 1987.
<i>AW</i>	"Proceedings of the Institute of Phosphor Society", Translation of pages 1, 5 to 14 of the 264th Proceedings of the Institute of Phosphor Society, Nov. 29, 1996.
<i>AW</i>	Shigeo SHIONOYA et al. (editors), "Phosphor Handbook", pp. 505-508, CRC Press, 1999. <i>no month</i>
<i>AW</i>	E.F. GIBBONS et al., "Some Factors Influencing the Luminous Decay Characteristics of Y3Al5O12:Ce3+", J. Electrochem. Soc., Vol. 120, No. 6, June 1973.
<i>AW</i>	D.J. ROBBINS et al., "Lattice Defects and Energy Transfer Phenomena in Y3Al5O12:Ce3+", pp. 1004-1013, printed June 19, 2001.

EXAMINER <i>Joseph Williams</i>	DATE CONSIDERED 3/28/06
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4/22/05



Sheet 1 of 1 (4/22/05)

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EXAMINER INITIAL	DOCUMENT NUMBER	Kind	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
JW	US 3,875,456		1975-04-01	Kano et al.			
JW	US 3,510,732		1970-05-05	R.L. Amans			
JW	US 5,550,657	A	1996-08-27	Tanaka et al.			
JW	US 5,578,839	A	1996-11-26	Nakamura et al.			
	US						
	US						
	US						
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	US						

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								YES	NO
JW	DE	3804293	A1	1989-08-24	GERMANY			Abs	
JW	JP	06-231605	A	1994-08-19	JAPAN			X w/Abs	
JW	GB	2 000 173	A	1979-01-04	GREAT BRITIAN			X	
JW	EP	0 383 215	A	1990-08-22	EUROPE			X	
JW	DE	9013615	U	1991-01-24	GERMANY				

## OTHER DOCUMENTS

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5/20/05



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Birch, Stewart, Kolasch & Birch, LLP		ADM/EHC/sk	



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JW	BG	JP61-158606	07/18/1986			Y
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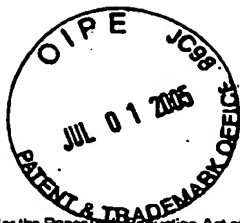
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JW	CC	Mitsubishi Electric Company Technical Report, Vol. 48, No. 9, 1974, pages 1121-1124	
JW	CD	Proceedings of Illumination National Convention in 1983, page 12 <i>no month</i>	
JW	CE	Phosphor Handbook, 1 <sup>st</sup> Edition, 1987, pages 233-240 and 275-277 <i>no month</i>	
JW	CF	Journal of the Television Society, Vol. 47, No. 5, 1993, pages 753-764 <i>no month</i>	
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JW	CH	Y. NAYATANI, "Revision of the Chroma and Hue Scales of a Nonlinear Color-Appearance Model", Color Research & Application, Vol. 20, No. 3, June 1995, pages 143-155	
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